

Original Article



Three-Dimensional Geological Models (3D Gms) and Their Spatial Analysis Methods for Mineral Prospectivity Modelling (MPM)

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Abstract:

A comprehensive review of three-dimensional geological models (3D GMs) and their spatial analysis and statistics methods for mineral prospectivity modeling (MPM) is presented. Firstly, we explore both explicit and implicit 3D geological modeling techniques and examine data structures of 3D GMs. The primary challenge of representing and modeling complex geological structures remains still unresolved. The ultimate objective of 3D geological modeling is to facilitate further spatial analysis and practical applications. Thus, constructing 3D GMs with essential geological characteristics necessitates the abstraction, selection, and integration of multi-resource data. Secondly, 3D GMs can undergo further spatial analysis and statistics methods within 3D geographical information systems (GIS). In the realm of geological variable construction, commonly employed spatial analysis methods include interpolation analysis, stochastic simulation, distance analysis, direction analysis, iso-surface analysis, gradient analysis, spatial auto-correlation analysis, and clustering analysis. Moreover, when investigating the relationships among geological variables, techniques such as cross-correlation analysis and spatial regression analysis are commonly employed.

Keywords: 3D geological model; Solid model; Volumetric model; Explicit modeling; Implicit modeling; Spatial statistics.

Introduction

As digital mines mature and progress on their applied studies, the limitations of traditional two-dimensional (2D) information displaying becomes increasingly evident, especially in geological body representation (Cui et al., 2026; Wu et al., 2013). Growing needs to analyze and solve geological problems from a real 3D perspective pushes three-dimensional (3D) geological modeling to unprecedented strategic heights. Houlding (1994)

introduced core theories and basic methods of 3D geological modeling in subsurface 3D visualization technology, including spatial database setting, triangulation methods, surface modeling, delineating and connecting geological boundaries, reserve calculation, and mining design. 3D geological modeling entails the establishment of mathematical models to express geological characteristics in appropriate computer

data structures, e.g., the shapes of geological structures, the relationships among geological bodies, and the spatial distribution of geological bodies' physical and chemical properties. With advancement in geological exploration, revolutions in deep-penetration geophysical and geochemical explorations, and accumulation of multi-source geosciences databases, the prospect of studying geological bodies' geometries and properties from a 3D perspective becomes feasible (Hu et al., 2020; Wu et al., 2005; Zhang et al., 2023). The 3D geological modeling falls within the research field of visualization in scientific computing, rendering complicated concepts in intuitive graphics and images to help geologists understand phenomena, discover laws, and convey knowledge. The applications of 3D geological models (3D GMs) span four levels: visualization, spatial query, spatial analysis, and engineering practice, which play vital roles in conducting real 3D reconstruction and analysis of subsurface entities, e.g., strata, fault networks, intrusive rocks, geophysical and geochemical properties, orebodies, and related artificial structures (García-Gil et al., 2023; Lajaunie et al., 1997; Pan et al., 2020; Zhang et al., 2018). Its foundation lies in multi-source geosciences spatial databases, integrating data from different disciplines, sources, types, periods, and precisions. The process involves fusing multi-source geosciences spatial data, e.g., geological, geophysical, geochemical, and remote sensing data, in a unified 3D visualized environment. The outputs, 3D GMs, enables the investigation of the spatial distribution, orientation, and evolutionary relationship of geological bodies (Jessell, 2001; Zhang et al., 2023), serving as input of 3D visualized geosciences numerical simulations and further engineering applications. The rise of 3D geological modeling is gradually reshaping traditional geological works, which allows for the full utilization of geosciences data accumulated over time, indicating a new direction for constructing various geosciences spatial databases.

In the foreseeable future, geological work submissions may transition from reports and maps to comprehensive 3D databases, including geological models and associated raw data.

The 3D mineral prospectivity modeling (MPM) serves as the predictive intelligence engine within digital mines, transforming multi-source geological data into probabilistic 3D targeting maps to guide exploration and reduce uncertainty in subsurface resource discovery (Li et al., 2023; Xie et al., 2025; Zhang et al., 2023). In 3D MPM, it is necessary to express the distribution of the intensity and trend of geological ore-controlling variables in the 3D space and to establish the spatial relationship model among 2D/3D and qualitative/quantitative geosciences data. Therein, spatial analysis and statistics methods applied to 3D GMs are useful tools for quantifying ore-controlling factors, extracting mineralization signatures, and constructing predictive algorithms in 3D MPM (Deng et al., 2022; Xu et al., 2021; Zhang et al., 2018). They extract deep information from 3D geological field models, including geological evolution, sub-surface geological structure, and mineralization (Bérubé et al., 2018; He et al., 2021). They are necessary for analyzing ore-forming environments, extracting effective ore-controlling factors, and describing real ore-forming processes in 3D MPM.

1 Geometric modeling

3D geological geometric modeling focuses on constructing reasonable solid models to represent the geometric shapes, topological relationships, and logic of subsurface geological bodies (Galley et al., 2020; Mallet, 2002). There are two kinds of 3D geological geometric modeling methods: explicit and implicit methods. The explicit method involves reconstructing geological boundaries, relying heavily on a time-consuming process of manual digitization. This method can be best described as surface modeling, which complex geological bodies are built up lying on the surfaces by digitizing lines and points. In contrast, implicit

modeling does not explicitly reconstruct or digitize surfaces, whereas implicitly contains them within volumetric functions.

2.1 Explicit Modeling

The roadmap of 3D geological explicit modeling involves multi-source data, multi-method integration, and multi-level human-computer intervention (Chen *et al.*, 2023; Mallet, 2002; Wu *et al.*, 2005; Zhong *et al.*, 2018). Explicit modeling provides a detailed representation of explicit boundaries, such as geological interfaces, digital elevation models (DEMs) and fault surfaces, which reconstructs a 3D vector model of geological bodies. Reconstructed surfaces can either form closed boundaries that encapsulate geological bodies, or remain open as fault surfaces. For instance, close surfaces may represent layered strata with defined top, bottom, and side boundaries. However, current explicit methods require a complicated and time-consuming human-computer interactions for connecting boundary lines, and it is difficult to update the model, hindering the widespread adoption of 3D geological modeling.

2.1.1 Multi-layer DEM

The multi-layer DEM method involves building the DEMs for all stratigraphic interfaces successively and then stitching them together on perimeters to form the stratigraphic model. It capitalizes on the fact that some stratigraphic interfaces can be represented as vertical single-valued surfaces, meaning that each horizontal (x , y) coordinate corresponds to a unique elevation value z (Hou *et al.*, 2025; Kaufmann *et al.*, 2008; Lemon *et al.*, 2003). Interpolation or fitting of sampling points essentially determine a single-valued DEM function $z(x, y)$ for each stratigraphic interface, which is then represented using a triangulated irregular network (TIN). Similarly, perimeter boundaries where these interfaces are stitched together are also constructed and represented as TIN surfaces, which are

foundational together with stratigraphic interfaces for constructing the model. All stratigraphic interfaces share an identical horizontal projection extent, with pinch-out zones specially treated as zero-thickness layers where the top and bottom interfaces coincide, as shown in Figure 1a. Integrating multi-layer DEM with tri-prism volume (TPV) facilitates voxelization of strata into irregular tri-prism grids, which offers the possibility of real 3D spatial analysis. Each corresponding pair of triangles from the top and bottom triangulated surfaces define the top and bottom facets of a tri-prism. The vertical edges of the tri-prism are formed by connecting the three corresponding vertices of these aligned triangular facets, as shown in Figure 1b. In an extended tri-prism, the top and bottom triangular facets can be non-parallel, and its three lateral edges can also be non-parallel, a geometric flexibility designed to accommodate the spatial configuration of actual sampling data, as shown in Figure 1c. Furthermore, with one to two its vertical edges degenerated to a vertex, or with the top or bottom triangle facets degenerated to an edge or a vertex, a tri-prism degenerates a pentahedron or a tetrahedron, which is treated specially in generalized tri-prism (GTP) (Houlding, 1994; Wu, 2004; Zhang *et al.*, 2015). Guo *et al.* (2021) provided the process for subdividing a tri-prism and a pentahedron to generate the tetrahedron network (TEN) model. The coupled multi-layer DEM with TPV or TEN overcomes the limitation of 3D vector models being confined to visualization and spatial queries. However, multi-layer DEM struggle with complex geological structures such as reverse faults and recumbent folds, which created multi-valued interfaces, meaning that each horizontal coordinate corresponds to multiple elevation values, as well as with branching vein-type orebodies and sedimentary facies. Therefore, it is primarily suited for engineering geology applications in urban settings.

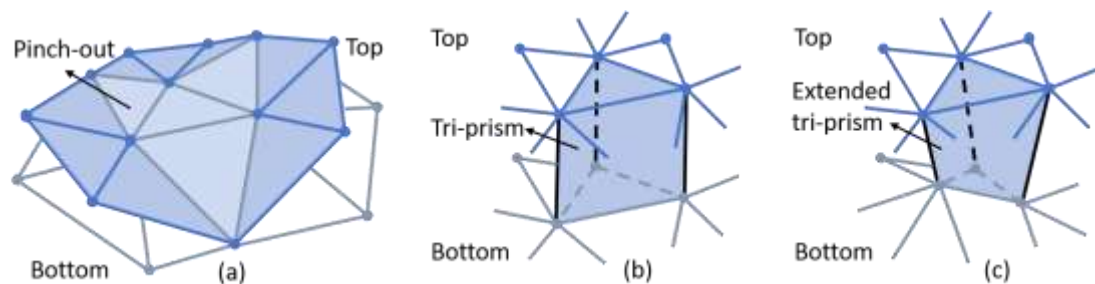


Fig. 1 (a) Multi-layer DEM treats pinch-out zones as zero-thickness layers, and it can be coupled with TPV model using (b) regular and (c) extended tri-prisms

2.1.2 Boundary-Representation

Geological structures often exhibit complex geometric boundaries that cannot be adequately described by the regular top, bottom, and side surfaces. To address this, the boundary-representation (B-Rep) method reconstructs geological bodies with multiple boundary surfaces such as fault surfaces, DEM, and geological interfaces (Liu *et al.*, 2012; Tian *et al.*, 2024; Wu *et al.*, 2003; Zhong *et al.*, 2018). It constructs a tectonic frame to delineate sub-regions, within which strata and intrusive rocks are subdivided into separated geological bodies (Wu *et al.*, 2003). Subsequently, a boundary surface is subdivided into several sub-surfaces composed of triangular patches and shared boundary lines, while geological body is enclosed by multiple sub-surfaces that define its interfaces with adjacent bodies. In B-Rep, sub-surfaces are precisely represented as multi-valued TINs, which capture complex geometries with irregular triangles. The TINs often require densification to maintain favorable triangulation morphology; however, this process must avoid introducing geometric and topological inconsistencies at the junctions of sub-surfaces. Wu *et al.* (2005) proposed a fractal-based triangular recursive subdivision method, which iteratively calculates the midpoint of each triangle's edges and reconstructs new triangular patches to achieve effective densification. These TIN-constrained sub-surfaces then guide a tetrahedralization process that subdivides enclosed

geological bodies into a non-overlapping TEN model, enabling robust 3D spatial analysis operations such as volume calculating, cutting, and heterogenous property representing. However, complex topological relationships in a 3D geological hybrid model must be maintained among geological bodies, tetrahedra, surfaces, sub-surfaces, and boundary lines.

In B-Rep, the global and the local approaches for reconstructing faulted stratigraphic interfaces present distinct trade-offs between geological fidelity and practical feasibility. The global approach offers the significant advantage of utilizing the complete dataset from both sides of the fault during the initial interpolation, thereby constructing a geologically consistent, pre-faulting stratigraphic interfaces that honor the original depositional or structural continuity. This leads to a more robust and realistic final model, as the subsequent separation and displacement based on fault geometry inherently maintain the stratigraphic correlation across the fault. However, it often requires computationally intensive structural restoration to approximate pre-faulting interfaces, relying on sometimes an uncertain tectonic restoration step (Mallet, 2004). In contrast, the local approach treats each fault block independently, simplifying the workflow by eliminating the need for complex tectonic restoration and avoiding cross-fault data interference. This makes it more straightforward and faster. Its primary disadvantage, however, is

the potential loss of geological consistency; by ignoring data from the opposite block, the method may produce surfaces that do not align correctly across the fault or fail to honor the regional geological trend, especially when local data is sparse, leading to increased uncertainty and potential interpolation artifacts near the fault zone (Wu *et al.*, 2003).

In geological practice, cross-sections serve as the primary means of visualizing and interpreting subsurface 3D geological structures. The contour lines on cross-sections, either closed or open, are the intersecting lines between cross-sections and geological bodies, as shown in Figure 2a. Within the B-Rep framework, cross-sections constitute a main data source, around which special modeling

methods have been developed to reconstruct 3D geological bodies from 2D sectional contours. Utilizing 2D sectional contours to reconstruct 3D multi-valued surfaces, usually represented by TINs, faces three main challenges of corresponding, branching, and tiling of contours (Chen *et al.*, 2023; Meyers *et al.*, 1992; Xu *et al.*, 2003). After a clear corresponding and branching wireframe among sectional contours is confirmed, usually with essential human-computer interaction, it can also be filled with TINs by tiling corresponding contours to form the model, as shown in Figure 2b. Roberto *et al.* (1999), Perrin *et al.* (2005) and Chen *et al.* (2023) used knowledge-driven and reasoning methods to build the wireframe among contours.

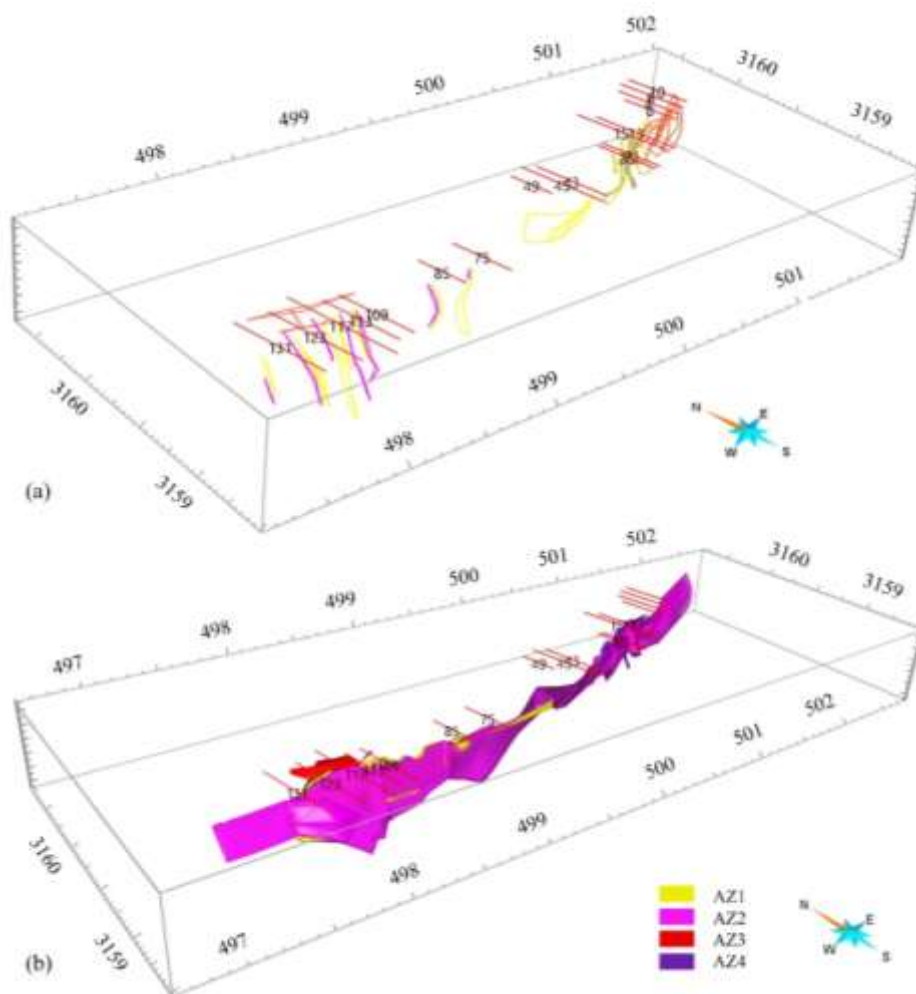


Fig. 2 (a) Sectional contours and (b) 3D GMs of hydrothermal alteration zones AZ1, AZ2, AZ3, and AZ4 in a gold deposit

2.1.3 TEN Modelling

TEN modeling employs irregular tetrahedra as elementary voxels to discretize 3D subsurface space, with irregular boundaries by enabling local refinement and topological optimization, enabling the faithful representation of complex geological bodies through adjacent and non-overlapping tetrahedra, while their outermost triangular facets effectively delineate smooth and continuous geological interfaces (Antepara *et al.*, 2021; Si, 2015; Xue *et al.*, 2004; Yusoff *et al.*, 2022). It utilizes tetrahedralization of scattered sampling points to partition 3D subsurface space, requiring the additional constraints that triangles from

geological interface TINs must serve as facets of the tetrahedra, and geological boundary lines must act as edges of the tetrahedra, as shown in Figure 3. TEN modeling enhances the accuracy of geological property interpolation, such as Kriging estimation, by providing a structurally constrained framework that incorporates the geometry of geological bodies (Feather *et al.*, 2021). Its spatial discretization is inherently compatible with numerical simulation methods like finite element analysis, thereby improving the precision and reliability of geosciences numerical modeling (Feather *et al.*, 2021; Li *et al.*, 2019; Schneider *et al.*, 2022; Tanaka *et al.*, 2022).

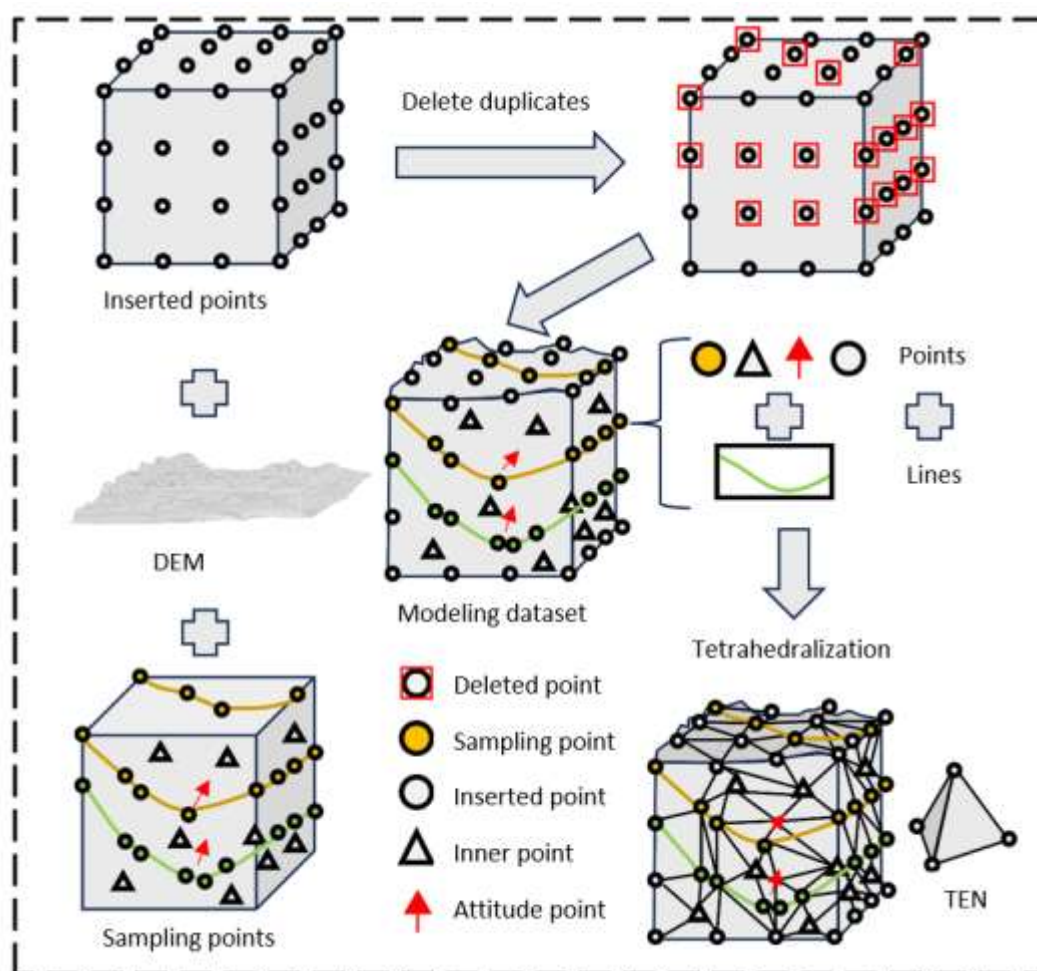


Fig. 3 Tetrahedralization under constraints of sampling points and geological boundary lines

2.2 Implicit Modeling

The key of implicit modeling methods is to

interpolate a 3D volumetric function $f(\mathbf{p})$ at any point $\mathbf{p}$, which is interpolated from stratigraphic interface sampling points $\{(\mathbf{p}_i, f_i)\}_{i=1}^N$ and

structural orientations $\{(\mathbf{p}_j, \nabla f_j)\}_{j=1}^M$, such as stratification or foliation, using either discrete or continuous interpolation schemes (Lajaunie *et al.*, 1997; Mallet, 2004). Its values are set as grades of orebodies or relative eras of geological interfaces $\{f(\mathbf{p}_i) = f_i\}_{i=1}^N$, and structural orientations $\{\nabla f(\mathbf{p}_j) = \nabla f_j\}_{j=1}^M$ are treated as gradients of the volumetric function. Gradient, $\nabla f(\mathbf{p}_j) = \frac{\partial f}{\partial x} \hat{\mathbf{x}} + \frac{\partial f}{\partial y} \hat{\mathbf{y}} + \frac{\partial f}{\partial z} \hat{\mathbf{z}}$, is the most important microscopic characteristic of the volumetric function, reflecting the variation trend of the volumetric function in space. It represents the largest directional derivative in all directions at each point and is perpendicular to iso-surfaces. Hillier *et al.* (2013) presented a structural field interpolation (SFI) algorithm derived from eigen

analysis of structural orientations. Implicit modeling allows for the automatic extraction of iso-surfaces from the volumetric function, $f(\mathbf{p}) = \text{const}$, as orebodies or geological interfaces, as shown in Figure 4. As the most important macroscopic characteristic of volumetric function, iso-surface is a surface formed by connecting points with the same constant volumetric function value. Since there are countless non-intersecting iso-surfaces in the volumetric function, orebodies and geological interfaces are those iso-surfaces of specific grades or relative geological eras. A stratum occupies the space between the top and bottom interfaces, and the volumetric function values inside a stratum change gradually from top to bottom. The increasing importance of implicit modeling stems from the advantages of efficiency, reproducibility and topological consistency (Cowan *et al.*, 2003).

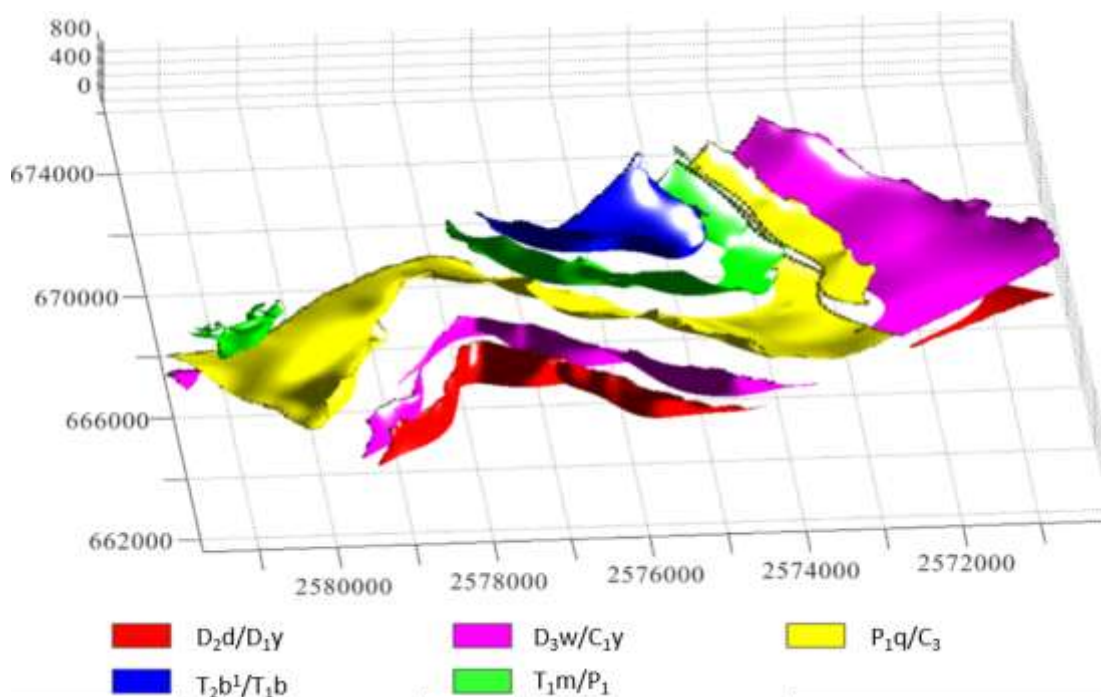


Fig. 4 Stratigraphic interfaces between the Donggangling Stage (D_2d) and the Yujiang Stage (D_1y), between the Wuzhishan Formation (D_3w) and the Yanguan Formation (C_1y), between the Qixia Formation (P_1q) and the Upper Series of Carboniferous (C_3), between the Majiaoling Formation (T_1m) and the Lower Series of Permian (P_1), and between the Lower Member of Baifeng Formation (T_2b^1) and Beisi Formation (T_1b) in a manganese deposit

The discrete interpolation schemes of implicit modeling rely on computational meshes, offering robustness in handling complex structures but at the cost of significant computational resources and sensitivity to mesh quality (Caumon *et al.*, 2013; Grose *et al.*, 2021; Irakarama *et al.*, 2020; Mallet, 2004). Since the continuous interpolation schemes does not depend on a mesh for its definition, the extracted orebodies or geological interfaces can be extracted at any desired resolution in the specific volume of interest. There are already Kriging (Calcagno *et al.*, 2008; de la Varga *et al.*, 2019; Goncalves *et al.*, 2017; Lajaunie *et al.*, 1997) and radial basis function (RBF) (Basson *et al.*, 2016; Cowan *et al.*, 2003; Guo *et al.*, 2021; Zhang *et al.*, 2023) formulations for continuous implicit modeling of geological interfaces without a mesh. However, when the volume of modeling dataset scales up, the computational cost of solving large linear systems of interpolation increases significantly. Moreover, in geologically complex settings, needs of incorporating additional geological constraints, such as fault kinematics (Grose *et al.*, 2021) and fold geometry (Frings *et al.*, 2023), may complicate the governing equations and increase computational complexity.

Deep neural networks (DNNs) enable implicit modeling for geological interfaces without solving large systems of linear equations. Moreover, these methods mitigate the impact of global smoothness criteria on local model features and replace cumbersome constraint equations with learnable loss functions, thereby improving methodological flexibility. Due to their superior nonlinear representation capacity, multilayer perceptron (MLP) (Guo *et al.*, 2024; Hillier *et al.*, 2023), convolutional neural networks (CNNs) (Bi *et al.*, 2022), and graph neural networks (GNNs) (Hillier *et al.*, 2021) have become a prominent direction in 3D geological modeling.

2 Attribute Modeling

3D geological attribute modeling aims to

characterize the complex and heterogeneous internal properties of geological bodies, primarily employing various spatial interpolation and stochastic simulation methods and typically utilizing volumetric models (Feather *et al.*, 2021; Goovaerts, 1997; Isaaks *et al.*, 1989; Martelet *et al.*, 2004). The geometric model, which delineates the geometric boundaries and spatial relationships of geological bodies (e.g., strata, faults, intrusive bodies), provides an essential structural framework for attribute interpolation and stochastic simulation. This is because geological space is inherently heterogeneous and discontinuous; properties like density, chemical concentration, or seismic velocity are not solely functions of Euclidean distance but are strongly governed by the discrete geological structure defined by boundaries. Two points in close spatial proximity but residing on opposite sides of a significant geological boundary can exhibit vastly different properties. Therefore, the geometric model must serve as the primary conditioning framework during attribute modeling to ensure that property estimations respect the inherent geological discontinuities and spatial zonation. This integration ensures that the resulting attribute model not only honors the sampled data but also aligns with the underlying geological structure, thereby enhancing the reliability and practical utility of the model for MPM.

3.1 Spatial Interpolation

Spatial Interpolation serves as a fundamental tool for constructing 3D geological attribute models to fit a volumetric function from discrete and irregularly sampled data. The volumetric function can obtain the attribute value at each point in 3D space. Based on the selection of sampled data in fitting processes, interpolation methods are primarily categorized into global and local fitting. Global fitting methods, such as trend surface analysis and radial basis functions (RBFs), utilize all sampled data within the study area to approximate a single volumetric function

describing regional trends. Conversely, local fitting methods, including inverse distance weighting (IDW) and Kriging, estimate values at unsampled locations using only sampled data from their neighborhood, thereby better capturing local spatial variability and complex geological structures.

3.1.1 Univariate Kriging

Kriging interpolation in geostatistics holds paramount importance in geosciences due to their statistical foundation. Unlike deterministic

methods (e.g., IDW, spline interpolation), it treats a spatial attribute as the regionalized variable $Z(\mathbf{p})$ characterized by spatial autocorrelation (Krige, 1952; Matheron, 1963). A key advantage of Kriging is its ability to generate not only a predicted surface but also an associated Kriging variance surface, which quantifies the uncertainty or confidence of the prediction. Univariate Kriging interpolation estimates the value $\hat{Z}(\mathbf{p})$ at an unsampled location $\mathbf{p}$ as a weighted linear combination of neighboring sample values $Z(\mathbf{p}_i)$ with weights λ_i , as follows:

$$\hat{Z}(\mathbf{p}) = \sum_{i=1}^N \lambda_i Z(\mathbf{p}_i), \quad (1)$$

where N is the number of nearby samples used in the estimator. The spatial autocorrelation of regionalized variable is quantified by a semivariogram $\gamma(\mathbf{h})$, as follows:

$$\gamma(\mathbf{h}) = \frac{1}{2N(\mathbf{h})} \sum_{i=1}^{N(\mathbf{h})} [Z(\mathbf{p}_i) - Z(\mathbf{p}_i + \mathbf{h})]^2, \quad (2)$$

where $N(\mathbf{h})$ represents the number of sampled point pairs with a lag vector $\mathbf{h}$ (with a distance and a direction). The relationship between the semivariogram $\gamma(\mathbf{h})$ and the covariance function $C(\mathbf{h})$ can be expressed as $\gamma(\mathbf{h}) = C(0) - C(\mathbf{h})$.

Ordinary Kriging (OK) provides the best linear

unbiased estimator (BLUE) with zero expectation $E[\hat{Z}(\mathbf{p}) - Z(\mathbf{p})] = 0$ and minimum variance $\min_{\lambda} \text{Var}[\hat{Z}(\mathbf{p}) - Z(\mathbf{p})]$ for unsampled locations (Martínez-Cob, 1996; Song et al., 2025), therefore, the weights λ_i are determined by solving the following system:

$$\begin{cases} \sum_{i=1}^N \lambda_i \gamma(\mathbf{p}_i - \mathbf{p}_j) + \mu = \gamma(\mathbf{p} - \mathbf{p}_j), & j = 1, \dots, N \\ \sum_{i=1}^N \lambda_i = 1 \end{cases}, \quad (3)$$

where $\gamma(\mathbf{p}_i - \mathbf{p}_j)$ represents the semivariance between sampled points $\mathbf{p}_i$ and $\mathbf{p}_j$, $\gamma(\mathbf{p} - \mathbf{p}_j)$ is the semivariance between the unsampled location $\mathbf{p}$ and the sampled points $\mathbf{p}_j$, and μ is the Lagrange multiplier introduced to enforce the unbiasedness

constraints. Figure 5 shows the Au grade model of a gold orebody interpolated by OK with three fitted semivariograms in different directions. The estimation variance can be expressed as follows:

$$\sigma^2(\mathbf{p}) = C(0) - 2 \sum_{i=1}^N \lambda_i C(\mathbf{p} - \mathbf{p}_i) + \sum_{i=1}^N \sum_{j=1}^N \lambda_i \lambda_j C(\mathbf{p}_i - \mathbf{p}_j). \quad (4)$$

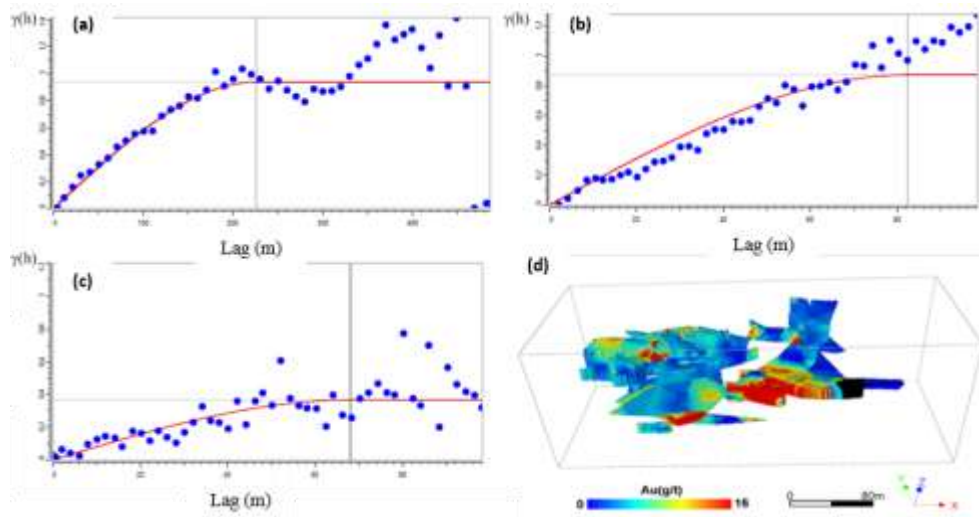


Fig. 5 Three semivariograms in (a) primary, (c) secondary, and (d) vertical directions and (d) the OK interpolated Au grade model of a gold orebody

Simple Kriging (SK) assumes the regionalized variable $Z(\mathbf{p})$ is second-order stationary with a known and constant mean m . SK directly models the residual $R(\mathbf{p}) = Z(\mathbf{p}) - m$. Because

$E[R(\mathbf{p})] = 0$, SK estimator $\hat{R}(\mathbf{p}) = \sum_{i=1}^N \lambda_i R(\mathbf{p}_i)$ is automatically unbiased regardless of the weights, therefore, the weights λ_i are determined by solving the following equation:

$$\sum_{i=1}^N \lambda_i \gamma_R(\mathbf{p}_i - \mathbf{p}_j) = \gamma_R(\mathbf{p} - \mathbf{p}_j), \quad j = 1, \dots, N, \quad (5)$$

where $\gamma_R()$ is the semivariogram of the residual regionalized variable $R(\mathbf{p})$.

The indicator Kriging (IK) is suited for categorical data with a regionalized indicator variable $0 \leq I(\mathbf{p}; k) \leq 1$, which indicates the

probability that a variable at an unsampled location $\mathbf{p}$ belongs to a specified category $k = 1, \dots, K$ (Amour *et al.*, 2012; Chilès *et al.*, 1993; Gunnink *et al.*, 2021; Oh *et al.*, 1999). The expected value of the indicator variable is the marginal probability:

$$E[I(\mathbf{p}; k)] = \pi_k, \quad \sum_{k=1}^K \pi_k = 1. \quad (6)$$

The weights $\lambda_i^{(k)}$ of IK estimator $\hat{I}(\mathbf{p}; k) =$

following OK system:

$\sum_{i=1}^N \lambda_i^{(k)} I(\mathbf{p}_i; k)$ can be determined by solving the

$$\begin{cases} \sum_{i=1}^N \lambda_i^{(k)} \gamma_{I,k}(\mathbf{p}_i - \mathbf{p}_j) + \mu^{(k)} = \gamma_{I,k}(\mathbf{p} - \mathbf{p}_j), & j = 1, \dots, N \\ \sum_{i=1}^N \lambda_i^{(k)} = 1 \end{cases}, \quad (7)$$

where $\gamma_{I,k}()$ is the corresponding indicator semivariogram of the regionalized variable $I(\mathbf{p}; k)$.

3.1.2 Cokriging

The bivariate form of cokriging (CK) can

$$\hat{Z}_1(\mathbf{p}) = \sum_{i=1}^{N_1} \lambda_i Z_1(\mathbf{p}_i) + \sum_{j=1}^{N_2} v_j Z_2(\mathbf{p}_j), \quad (8)$$

where v_j is the weight for the secondary variable $Z_2(\mathbf{p}_j)$ at sampled point $\mathbf{p}_j$. The spatial cross-correlation of two regionalized variables are

$$\gamma_{12}(\mathbf{h}) = \frac{1}{2N_{12}(\mathbf{h})} \sum_{i=1}^{N_{12}(\mathbf{h})} [Z_1(\mathbf{p}_i) - Z_1(\mathbf{p}_i + \mathbf{h})][Z_2(\mathbf{p}_i) - Z_2(\mathbf{p}_i + \mathbf{h})], \quad (9)$$

where $N_{12}(\mathbf{h})$ represents the number of sampled point pairs with a lag vector $\mathbf{h}$. The weights of λ_i

incorporate a secondary correlated variable $Z_2(\mathbf{p})$ (e.g., geophysical or geochemical data) to estimate the primary variable $Z_1(\mathbf{p})$ (Emery, 2012; Goovaerts, 2000), as follows:

modeled by a cross-semivariogram $\gamma_{12}(\mathbf{h})$, as follows:

and v_j for the primary and secondary variables are determined by solving the following system:

$$\left\{ \begin{array}{l} \sum_{i=1}^{N_1} \lambda_i \gamma_{11}(\mathbf{p}_i - \mathbf{p}_k) + \sum_{j=1}^{N_2} v_j \gamma_{12}(\mathbf{p}_j - \mathbf{p}_k) + \mu_1 = \gamma_{11}(\mathbf{p} - \mathbf{p}_k), \quad k = 1, \dots, N_1 \\ \sum_{i=1}^{N_1} \lambda_i \gamma_{12}(\mathbf{p}_i - \mathbf{p}_l) + \sum_{j=1}^{N_2} v_j \gamma_{22}(\mathbf{p}_j - \mathbf{p}_l) + \mu_2 = \gamma_{12}(\mathbf{p} - \mathbf{p}_l), \quad l = 1, \dots, N_2 \\ \sum_{i=1}^{N_1} \lambda_i = 1 \\ \sum_{j=1}^{N_2} v_j = 0 \end{array} \right. , \quad (10)$$

where $\gamma_{11}(\mathbf{p}_i - \mathbf{p}_k)$ represents the semivariance of the primary variable $Z_1(\mathbf{p})$ between points $\mathbf{p}_i$ and $\mathbf{p}_k$, $\gamma_{12}(\mathbf{p}_j - \mathbf{p}_k)$ is the cross-semivariance of the primary and secondary variables between points $\mathbf{p}_j$ and $\mathbf{p}_k$, $\gamma_{22}(\mathbf{p}_j - \mathbf{p}_l)$ represents the semivariance of the secondary variable $Z_2(\mathbf{p})$ between points $\mathbf{p}_j$ and $\mathbf{p}_l$, and μ_1 and μ_2 are the Lagrange multipliers.

Spatial interpolation plays an indispensable role in building volumetric models. It directly enables: (1) ore grade interpolation, transforming sparse borehole assay data into a 3D volumetric model of element concentration for resource evaluation and mine planning; (2) resampling of geophysical fields, such as converting irregularly spaced gravity or magnetic survey measurements into a regular 3D voxel grid for integration with volumetric model and quantitative prediction of

concealed orebodies; and (3) resampling of geochemical fields, creating 2D surface elemental distributions to link subsurface geochemical halos and alteration patterns associated with concealed mineralization. Thus, the selection and application of an appropriate spatial interpolation method, guided by data characteristics and geological understanding, is crucial for generating reliable 3D attribute models that underpin MPM.

3.2 Stochastic Simulation

While deterministic interpolation methods provide a single estimate of spatial attributes, they often fail to capture the full heterogeneity, complexity, and inherent uncertainty of subsurface geological properties. Stochastic simulation addresses this limitation by generating multiple, equally probable realizations of the spatial attribute distribution (Bai et al., 2022; Deutsch et al., 1993). Each realization honors the input sample data and reproduces spatial patterns (e.g., semivariogram, training image), providing a powerful framework for quantifying spatial attribute uncertainty. Compared to Kriging, stochastic modeling through random function theory can better reflect the heterogeneity and discontinuity of geological attribute distributions.

3.2.1 Sequential Gaussian Simulation (SGS)

SGS is designed for simulating continuous variables $Z(\mathbf{p})$ (e.g., ore grade, density) that can be normal-score transformed to a standard normal distribution $Y(\mathbf{p}) = G^{-1}(F(Z(\mathbf{p}))) \sim N(0, 1)$, where $F(z) = \text{Prob}\{Z(\mathbf{p}) \leq z\} \sim U(0, 1)$ is the cumulative distribution function (CDF) of the original regionalized variable $Z(\mathbf{p})$, $G()$ is the standard Gaussian CDF, and $G^{-1}()$ is the inverse CDF of the standard Gaussian distribution. The core principle involves sequentially visiting each unsampled location (voxel) in the 3D grid, constructing a conditional cumulative distribution function (CCDF) based on neighboring original data and previously simulated values, and then randomly drawing a value from this CCDF (Bai et al., 2022;

Grana et al., 2012; Haas et al., 1994; Nunes et al., 2010). The simulation proceeds sequentially by visiting each node along a random path and drawing a value from its local condition distribution. At each unsampled location $\mathbf{p}$ along the path, SK is performed using the normal-score data and previously simulated values to estimate the mean $\hat{Y}_{SK}(\mathbf{p})$ and variance $\sigma_{SK}^2(\mathbf{p})$ of the CCDF, assuming a multi-Gaussian model $N(\hat{Y}_{SK}(\mathbf{p}), \sigma_{SK}^2(\mathbf{p}))$, and the simulated value is computed as follows:

$$Y^{(l)}(\mathbf{p}) = \hat{Y}_{SK}(\mathbf{p}) + \sigma_{SK}(\mathbf{p}) \cdot G^{-1}(q), \quad (11)$$

where q is random quantile from $U(0, 1)$. After simulating all nodes in the normal score space, the inverse normal-score transform $Z^{(l)}(\mathbf{p}) = F^{-1}(G(Y^{(l)}(\mathbf{p})))$ is applied to obtain the simulated field in the original units, then one realization is produced. Different random paths are repeated to generate multiple equiprobable realizations.

3.2.2 Sequential Indicator Simulation (SIS)

SIS is designed for simulating categorical variables (e.g., lithofacies, rock types) or for generating uncertainty intervals for continuous variables by discretizing them into classes. It does not assume a Gaussian distribution; it is a non-parametric method (Liu et al., 2013). For each node along a random path, retrieving the neighborhood of original indicators and previously simulated category assignments, IK is performed to obtain $\hat{I}(\mathbf{p}; k)$, and the simulated value is assigned to the category whose indicator $\hat{I}(\mathbf{p}; k) \geq q$ first exceeds a random number $q \sim U(0, 1)$. After all unsampled grid nodes are simulated, one realization of the categorical field is obtained.

3.2.3 Multiple-point Statistics (MPS)

MPS borrows spatial patterns from training images (TIs), thereby better preserving curvilinear features (e.g., meandering channels, anastomosing lobes, fault networks) or high-order connectivity that are essential in geological heterogeneity (Guo et al., 2022; Hou et al., 2022; Strebelle, 2002). The TI embodies the target spatial patterns in a fully

populated 3D grid which is a process-based model, an object-based model, or even a hand-drawn sketch, directly embedding expert geological knowledge. No explicit covariance or semivariogram function is involved in MPS; the spatial continuity is encoded entirely in the empirical distribution of patterns.

Let the attribute of interest be a categorical variable $S(\mathbf{p})$ taking K states, the ultimate goal in sequential simulation is to estimate the conditional probability distributions:

$$\text{Prob}(S(\mathbf{p}) = s_k | \mathbf{d}_n) \approx \frac{c_k(\mathbf{d}_n)}{c(\mathbf{d}_n)},$$

$$k = 1, \dots, K, \quad (12)$$

where a data template includes n spatial vectors $\{\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_n\}$ defining a neighborhood geometry around a central location $\mathbf{p}$, and $\mathbf{d}_n$ is the data event which combines categorical values observed at the data template nodes given the current conditioning data. This probability is inferred directly from TIs by counting replicates of the data event $\mathbf{d}_n$. $c_k(\mathbf{d}_n)$ is number of such patterns in TIs where the central node value is s_k , and $c(\mathbf{d}_n)$ is number of patterns whose data event equals $\mathbf{d}_n$. The simulation proceeds voxel-by-voxel along a random path visiting all unsampled locations. At each location $\mathbf{p}$, the set of hard data and previously simulated values form the conditioning data event $\mathbf{d}_n$. The simulated value is assigned to the category whose cumulative probability exceeds a random number $q \sim U(0, 1)$.

3 Models

Data structures of 3D GMs comprise solid, volumetric, and hybrid models.

(1) Solid Model

The solid model represents 3D geological structures using combinations of points, lines, surfaces, and bodies, emphasizing their geometric shapes and topological relationships (Raiber *et al.*, 2015; Wang *et al.*, 2019; Zhang *et al.*, 2023). It utilizes TINs to represent geological interfaces and

assumes property homogeneity within a geological body. Solid model offers compact data volumes, facilitating visualization and spatial and topological queries of models, however, it struggles to express internal property changes within geological bodies, making its effective spatial analysis challenging.

(2) Volumetric Model

The volumetric model discretely subdivides 3D geological space using regular or irregular voxels, focusing on the spatial distribution of physical and chemical properties, such as element concentration, density, porosity, and permeability (Wang *et al.*, 2017; Xie *et al.*, 2025; Zhang *et al.*, 2023). It is easily combined with geophysical and geochemical fields to realize the complementarity and mutual verification of geological settings. While excelling in spatial operations and analysis, volumetric model struggles to delineate accurate geological boundaries due to regular voxelization. Despite its large volume due to resolution concerns, 3D raster model is still a representative regular volumetric model in MPM, stored as a 3D array in computer, suitable for spatial overlay, correlation analysis, and statistical modeling. Irregular volumetric models, such as TPV and TEN, impose significant challenges for MPM overlay analysis due to computational intensity, while also necessitating the maintenance of complex topological relationships among voxels.

(3) Hybrid Model

The selection of data structure depends on specific needs of geosciences problem-solving. Given complexities of geological structures, it is usually necessary to integrate or interchange between two kinds of models, as shown in Figure 6. For instance, establishing the volumetric model for a geological body often relies on voxelization of its solid model, reconstructed using boundary surfaces, into 3D voxels, however, the result 3D volumetric model is categorical, within each geological body sharing an identical category.

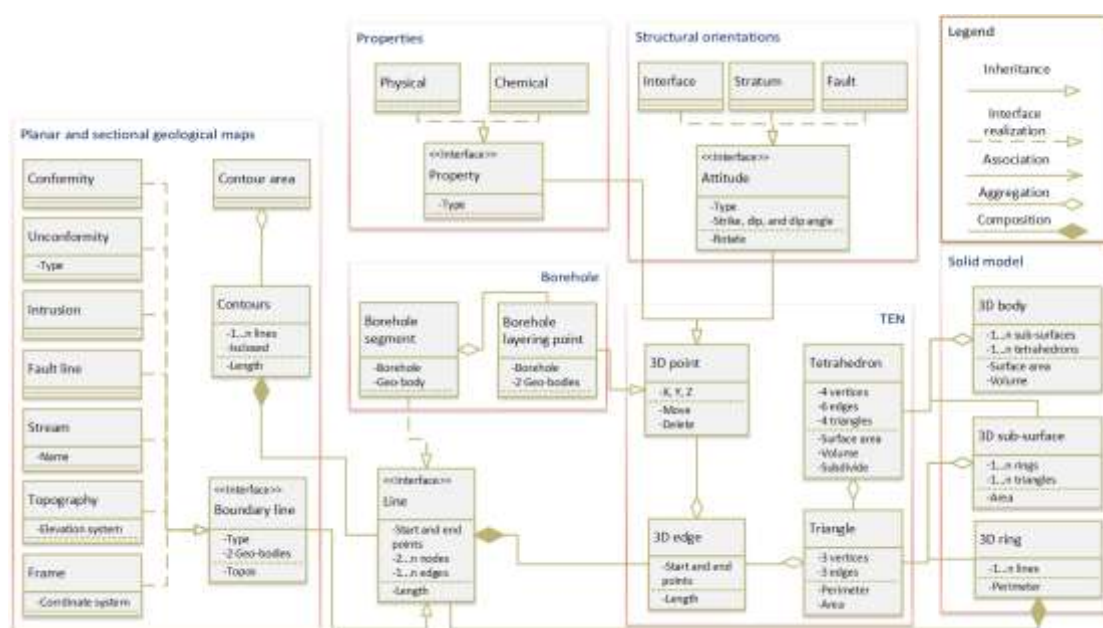


Fig. 6 A hybrid 3D model combining solid model with TEN reconstructed from boreholes, 2D planar and sectional geological maps, and physical and chemical properties

4 Spatial Analysis and Statistics

Spatial analysis and statistics methods for 3D GMs are crucial in solving challenges in geological study, e.g., the complexities of geological structures, lack of direct sampling data, comprehensive verifications of geophysical and geochemical explorations, and difficulties of spatial data mining, which provide essential tools for studying geological evolution in the deep subsurface space, deepening the comprehension of spatial distribution patterns of geological structures and guiding specific geosciences problem-solving. They also bridge the gaps of dimensionalities (2D or 3D) and types (qualitative

or quantitative) in 3D MPM data. 3D GMs and their spatial analysis methods establish integrated 3D quantitative methods for locating concealed orebodies in MPM (Deng *et al.*, 2022; Liu *et al.*, 2003; Mao *et al.*, 2016). As shown in Figure 7, for construction of geological ore-controlling variables, the commonly used spatial analysis methods include interpolation analysis, stochastic simulation, distance analysis, direction analysis, iso-surface analysis, gradient analysis, spatial autocorrelation analysis, and clustering analysis. When analyzing the relationship between mineralization and geological ore-controlling variables, cross-correlation analysis and spatial regression analysis are usually used.

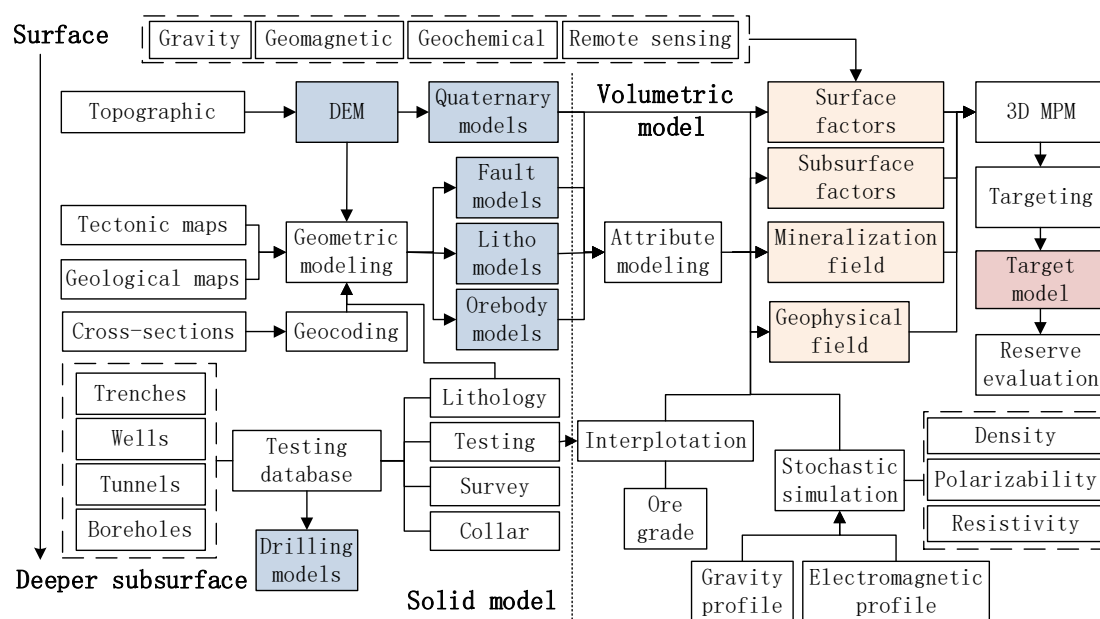


Fig. 7 Spatial analysis and statistics of 3D GMs in MPM

(1) Distance Analysis

In 3D MPM, distance analysis of geological bodies, such as faults, folds, or rocks, is often necessary to extract their morphological characteristics and quantifies the spatial influence of ore-controlling factors, by generating continuous distance fields (Behera et al., 2021; Fisher et al., 2013; Mao et al., 2020; Wang et al., 2019). When calculating the distance between the target voxel and a geological boundary, the distance is zero, negative, or positive if it on, insides, or outside the geological boundary, respectively.

(2) Direction Analysis

Direction analysis quantifies the spatial direction relationships between target voxels and geological bodies, thereby deriving predictive variables that constrain mineralization targeting by encoding the preferred orientation of ore-controlling structures (McLellan et al., 2008). The triple of {Strike, Dip, Dip Angle} is usually used to analyze the spatial direction relationship among geological bodies, which refers to the direction from the target voxel *O* to a source geological

body. Spatial direction can also be qualitatively described by east/west, north/south, and up/down in three coordinate axes, therefore, it is divided into 27 categories through different coordinate quadrant combinations that include target voxel *O* itself.

(3) Spatial Clustering

Spatial clustering is to find clusters of objects such that the objects in a group will be similar (or related) to one another and different from (or unrelated to) the objects in other clusters. It serves to partition the subsurface into distinct clusters based on the similarity of multivariate attributes, thereby enabling the automatic identification of anomalous patterns, coherent geological domains, and potential mineralization-related signatures that are critical for defining exploration targets in MPM (Liu et al., 2023; Nagasingha et al., 2025; Yang et al., 2026). Spatial clustering is not only a stand-alone tool to get insight into geological variable distribution but also a preprocessing step for other spatial analysis and statistics methods. The procedure of spatial clustering is shown in Figure 8.

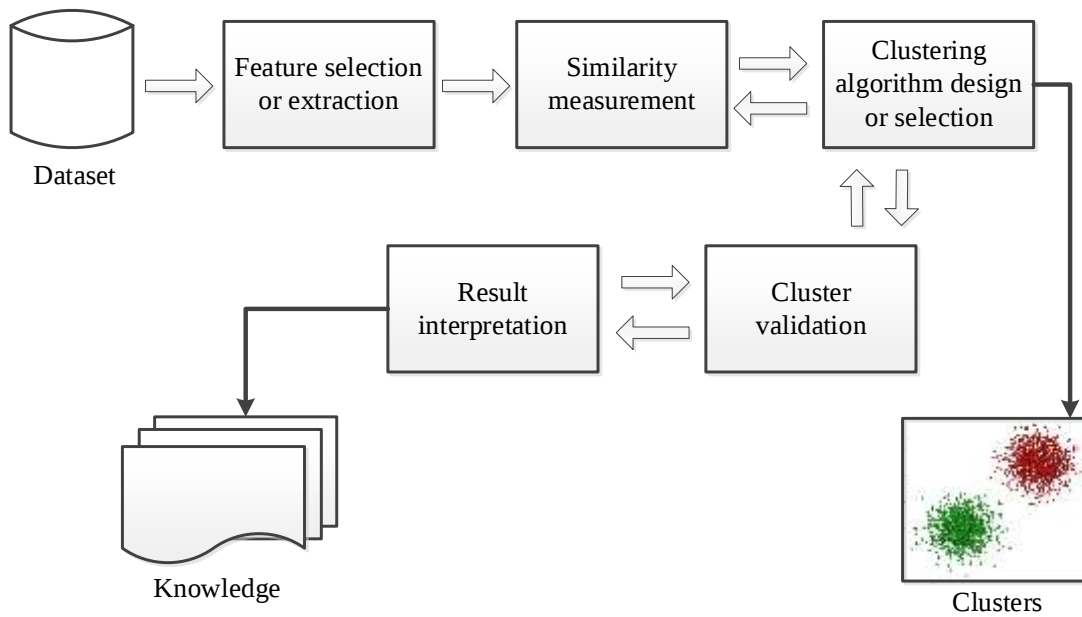


Fig. 8 Procedure of spatial clustering

(4) Spatial autocorrelation

Spatial autocorrelation reflects degree of correlation between an observed attribute x_i of the target voxel and the same attribute x_j of its N adjacent voxels. It quantifies the degree of spatial dependency and clustering patterns of a geological variable within a model, serving as a fundamental measure for understanding the inherent spatial structure of ore-controlling

factors and as a critical prerequisite for subsequent spatial statistical inference in MPM (Huang *et al.*, 2026). The measurement of spatial autocorrelation, such as the Moran's I and Geary's C statistics, can be expressed as a cross-product statistic between a spatial self-similarity matrix w_{ij} and an attribute self-similarity matrix x_{ij} . For example, the local Moran's statistic $I(i)$ is calculated as follows:

$$I(i) = \frac{(x_i - \bar{x}) \sum_{j=1}^N w_{ij} (x_j - \bar{x})}{\frac{\sum_{j=1}^N (x_j - \bar{x})^2}{N}}, \quad (13)$$

where $\bar{x}$ is the mean attribute of the target voxel and its adjacent voxels.

(5) Spatial cross-correlation

For the spatial cross-correlation between two stochastic variables x and y , the local bivariate Moran's statistics $I_{xy}(i)$ is calculated as follows:

$$I_{xy}(i) = \frac{(x_i - \bar{x}) \sum_{j=1}^N w_{ij} (y_j - \bar{y})}{\frac{\sum_{j=1}^N (x_j - \bar{x})^2}{N}}, \quad (14)$$

where $\bar{x}$ and $\bar{y}$ are mean attributes of x and y , respectively. Spatial cross-correlation quantifies the degree of spatial association between an ore-controlling factor and a mineralization

indicator across a 3D volume, thereby enabling the identification of spatially covarying exploration criteria and enhancing the MPM's predictive capability.

(6) Regression Analysis

Regression analysis is used to: (1) predict the value of a dependent variable based on the value of at least one independent variable; and (2) explain the impact of changes in an independent variable on the dependent variable. Dependent variable is the variable we wish to explain, such as ore grade/reserve, and independent variable is the variable used to explain the dependent variable, such as ore-controlling factors (Khammar *et al.*, 2024; Li *et al.*, 2026; Ramezanali *et al.*, 2020; Xiao *et al.*, 2022). For example, the multiple linear regression is to examine the linear relationship between one dependent variable y_i and K independent variables x_{ki} ($k = 1, 2, \dots, K$) at a target voxel i , as follows:

$$y_i = \beta_0 + \beta_1 x_{1i} + \beta_2 x_{2i} + \dots + \beta_K x_{Ki} + \varepsilon_i, \quad (15)$$

where ε_i is residual, and coefficients $\beta_0, \beta_1, \beta_2, \dots, \beta_K$ of the multiple regression model

$$\begin{cases} \ln(O) = b + \sum_{k=1}^K W_k \\ b = \ln\left(\frac{N(D)}{N(T) - N(D)}\right) \end{cases}, \quad (16)$$

where $N(D)$ and $N(T)$ are voxel numbers of the ore-bearing set D and universal set T , respectively, and W_k is the weight of evidence factor k , which equals to W_k^+ , W_k^- , or zero when the evidence at current voxel is existent, non-existent or unknown, respectively. Therefore, the ore occurrence posterior probability P at each voxel is expressed as:

$$P = O/(1 + O). \quad (17)$$

(8) Geographically Weighted Regression (GWR)

In conventional regression models, coefficients are the same at different voxels when assuming spatial stationary process. While spatial non-stationary process is more realistic, coefficients would be different at different voxels. If non-stationary process is modeled by stationary

can be estimated using sample data.

(7) Weight of Evidence (WofE)

For the categorical dependent variable, the WofE uses conditional probability theory to evaluate the spatial cross-correlation between the ore occurrence and ore-controlling evidence factors (Agterberg, 1991; Farahbakhsh *et al.*, 2020; Wang *et al.*, 2015; Zhang *et al.*, 2023). However, various complex correlations between evidence factors can lead to the failure of WofE since it can only extract statistical correlations, instead of the spatial auto- and cross-correlations, between known deposits and evidence factors (Li *et al.*, 2019; Wang *et al.*, 2011). The joint conditional independence between evidence factors is a basic assumption of WofE, and the ore occurrence logarithmic posterior odds O at each voxel is expressed as:

models, possible wrong conclusions might be drawn, or residuals of the model might be highly spatial auto-correlated. GWR is a local statistical technique to analyze spatial non-stationary variations in relationships, which is based on the “First Law of Geography”, that is, “everything is related with everything else, but closer things are more related.” GWR allows the relationships to vary over space, that is, $\beta_0, \beta_1, \beta_2, \dots, \beta_K$ do not need to be the same everywhere, as follows:

$$y_i = \beta_{0i} + \beta_{1i} x_{1i} + \beta_{2i} x_{2i} + \dots + \beta_{Ki} x_{Ki} + \varepsilon_i, \quad (18)$$

where coefficients $\beta_{0i}, \beta_{1i}, \beta_{2i}, \dots, \beta_{Ki}$ now vary in terms of the voxel i , instead of remaining the same everywhere. GWR allows for the localized modeling of spatially non-stationary relationships between ore-controlling variables and

mineralization, thereby providing a more nuanced and accurate characterization of ore-forming processes compared to traditional global regression models (Huang *et al.*, 2024; Zhang *et al.*, 2018).

Recent studies have demonstrated the efficacy of deep learning, particularly CNNs, in addressing the challenges faced by traditional MPM methods like WofE in complex metallogenic conditions (Ghezelbash *et al.*, 2020; Zhang *et al.*, 2021). CNNs not only capture auto-correlations within a massive 3D block model but also extract cross-correlations across multiple characteristic dimensions, allowing them to leverage spatial auto-auto-correlations and characteristic cross-correlations for improved classification models (Deng *et al.*, 2022; Li *et al.*, 2021; Zhang *et al.*, 2023; Zheng *et al.*, 2023). However, there is a large number of parameters to be estimated during the training process, which takes a lot of time associated with the use of 3D CNNs with deep network structures.

5 Conclusions

This review establishes that true utilities of 3D GMs are realized through its transformation into a quantitative spatial MPM framework via subsequent spatial analysis and statistics. By integrating geometric modeling (explicit and implicit) with attribute modeling (interpolation and stochastic simulation), and by applying spatial analytical techniques, such as distance, direction, gradient, and autocorrelation analysis, 3D GMs are converted into quantitatively characterized ore-controlling variables. Furthermore, the integration of these derived variables with spatial statistical techniques, including cross-correlation analysis, WofE, GWR, and emerging deep learning methods, provides a rigorous and evolving pathway for moving from qualitative visual interpretation to quantitative 3D MPM.

Despite these advancements, several critical

limitations and avenues for future research remain. Firstly, while spatial correlation is routinely assessed, robust methods for quantitatively evaluating and modeling its variation across space, i.e., spatial heterogeneity or non-stationarity, are still needed to improve the geological predictivity and interpretability of spatial regression models in complex mineral systems. Secondly, to move from empirical, data-driven prediction to process-based understanding, a crucial long-term direction is the coupling of static 3D GMs with numerical simulation models. This integration would enable the kinetics simulation of ore-forming processes. Thirdly, the future of 3D predictive modeling lies in leveraging multimodal large language models (MMLMs), such as GPT, Gemini, and Qwen-VL. Future work should focus on introducing advanced MMLMs to autonomously learn complex, non-linear relationships between multi-source geological spatial variables and mineralization, thereby enhancing the objectivity, efficiency, and accuracy of concealed orebody target delineation.

Conflict of Interest

The authors declare no conflicts of interest.

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Data Availability

The data generated or analyzed during this study are not publicly available due to privacy. Access may be granted upon reasonable request to the corresponding author, subject to approval from the relevant ethics committee or data owner.

Author Contributions

Methodology, Software, and Writing—original draft preparation: **Juxiang He**; Writing—original

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Founding

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